The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products.

Mandatory fields are noted with an asterisk (*). Consult the instructions tab for guidance on how to answer each question.

### Company Information

- **Company Name (English):** Auer Signal GmbH
- **Declaration Scope or Class (English):** Company
- **Description of Scope (English):** Producer of visual and audible signal equipment
- **Company Unique ID (English):** ATU14732002
- **Company Unique ID Authority (English):** HG Wien / HB.Nr. B24022
- **Address (English):** Perfektastr. 102; 1230 Vienna; Austria
- **Contact Name (English):** D.I. Christian Huf
- **Phone – Contact (English):** 0043 1 813 82 20 16
- **Email – Contact (English):** c.huf@auersignal.com
- **Authorizer (English):** D.I. Christian Huf
- **Title - Authorizer:** HG Wien / HB.Nr. B24022
- **Phone - Authorizer (English):** 0043 1 813 82 20 16
- **Email - Authorizer (English):** c.huf@auersignal.com
- **Effective Date (English):** 30-May-2018

#### Answer the following questions 1 - 7 based on the declaration scope indicated above

1) Is any 3TG intentionally added or used in the product(s) or in the production process? (*)

<table>
<thead>
<tr>
<th>3TG</th>
<th>Answer</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tantalum</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Tin</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Gold</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Tungsten</td>
<td>Yes</td>
<td></td>
</tr>
</tbody>
</table>

2) Does any 3TG remain in the product(s)? (*)

<table>
<thead>
<tr>
<th>3TG</th>
<th>Answer</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tantalum</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Tin</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Gold</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Tungsten</td>
<td>Yes</td>
<td></td>
</tr>
</tbody>
</table>

3) Do any of the smelters in your supply chain source the 3TG from the covered countries? (SEC term, see definitions tab) (*)

<table>
<thead>
<tr>
<th>3TG</th>
<th>Answer</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tantalum</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Tin</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Gold</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Tungsten</td>
<td>Yes</td>
<td></td>
</tr>
</tbody>
</table>

4) Does 100 percent of the 3TG (necessary to the functionality or production of your products) originate from recycled or scrap sources? (*)

<table>
<thead>
<tr>
<th>3TG</th>
<th>Answer</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tantalum</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Tin</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Gold</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>Tungsten</td>
<td>Yes</td>
<td></td>
</tr>
</tbody>
</table>

5) What percentage of relevant suppliers have provided a response to your supply chain survey? (*)

<table>
<thead>
<tr>
<th>3TG</th>
<th>Answer</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tantalum</td>
<td>96%</td>
<td></td>
</tr>
<tr>
<td>Tin</td>
<td>96%</td>
<td></td>
</tr>
<tr>
<td>Gold</td>
<td>96%</td>
<td></td>
</tr>
<tr>
<td>Tungsten</td>
<td>96%</td>
<td></td>
</tr>
</tbody>
</table>

6) Have you identified all of the smelters supplying the 3TG to your supply chain? (*)

<table>
<thead>
<tr>
<th>3TG</th>
<th>Answer</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tantalum</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Tin</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Gold</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Tungsten</td>
<td>No</td>
<td></td>
</tr>
</tbody>
</table>
**Conflict Minerals Reporting Template (CMRT)**

The purpose of this document is to collect sourcing information on tin, tantalum, tungsten, and gold used in products. Mandatory fields are noted with an asterisk (*). Consult the instructions tab for guidance on how to answer each question.

### 7) Has all applicable smelter information received by your company been reported in this declaration? (*)

<table>
<thead>
<tr>
<th>Smelter</th>
<th>Answer</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tantalum</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Tin</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Gold</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Tungsten</td>
<td>No</td>
<td></td>
</tr>
</tbody>
</table>

### Answer the Following Questions at a Company Level

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Have you established a conflict minerals sourcing policy? (*)</td>
<td>No</td>
<td>See item A; we will think about implementing rules into purchasing procedure</td>
</tr>
<tr>
<td>B. Is your conflict minerals sourcing policy publicly available on your website? (Note - If yes, the user shall specify the URL in the comment field.) (*)</td>
<td>No</td>
<td>See item A; if yes, specify the URL in the comment field.</td>
</tr>
<tr>
<td>C. Do you require your direct suppliers to be DRC conflict-free? (*)</td>
<td>No</td>
<td>See item A</td>
</tr>
<tr>
<td>D. Do you require your direct suppliers to source the 3TG from smelters whose due diligence practices have been validated by an independent third party audit program? (*)</td>
<td>No</td>
<td>See item A</td>
</tr>
<tr>
<td>E. Have you implemented due diligence measures for conflict-free sourcing? (*)</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>F. Does your company conduct Conflict Minerals survey(s) of your relevant supplier(s)? (*)</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>G. Do you review due diligence information received from your suppliers against your company's expectations? (*)</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>H. Does your review process include corrective action management? (*)</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>I. Is your company required to file an annual conflict minerals disclosure with the SEC? (*)</td>
<td>No</td>
<td></td>
</tr>
</tbody>
</table>

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